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September 14, 2023

VIA ONLINE SUBMISSION

Attorney General Aaron Frey
Maine Attorney General's Office
Consumer Protection Division
6 State House Station
Augusta, ME 04333

Re: Notification of Data Security Incident

Dear Attorney General Frey:

Constangy Brooks Smith & Prophete LLP represents The Mather Group LLC ("TMG") located in Chicago, Illinois, with respect to a recent data security incident described in greater detail below. The purpose of this letter is to notify you of the incident.

1. Nature of the Security Incident

On June 21, 2023, TMG discovered malware in a limited portion of its network. It quickly took steps to secure its digital environment and launched an internal investigation. It also engaged leading, independent cybersecurity experts to investigate and identify any information that may have been impacted. Through the course of the investigation, TMG learned that certain files and stored data may have been accessed or acquired without authorization during the incident. On August 10, 2023, TMG determined that personal information may have been impacted. The affected information varies by individual, but may have included individuals' names, Social Security numbers, financial account numbers, IRS PINs, driver's license numbers, and/or medical information.

2. Number of Maine Residents Affected

On September 14, 2023, TMG notified two (2) Maine residents of this incident via first class U.S. mail. A sample copy of the notification letter sent to impacted individuals is included with this correspondence.

3. Steps Taken Relating to the Incident

TMG has implemented enhanced technical security measures to minimize the chance that an incident like this could occur in the future. It is also offering complimentary credit and identity protection services to those individuals whose Social Security numbers may have been affected by the incident.

4. Contact Information

TMG remains dedicated to protecting the information in its possession. If you have any questions or need additional information, please do not hesitate to contact me at 312.520.2521 or by email at trowe@constangy.com.

Regards,

A handwritten signature in black ink that reads "Todd M. Rowe". The signature is written in a cursive style with a horizontal line above the first name.

Todd Rowe
Partner

Enc.: Sample Consumer Notification Letter

TMG
THE MATHER GROUP
Return to IDX
P.O. Box 989728
West Sacramento, CA 95798-9728

Enrollment Code: <<ENROLLMENT>>

To Enroll, Scan the QR Code Below:



Or Visit:

<https://app.idx.us/account-creation/protect>

<<FIRST NAME>> <<LAST NAME>>
<<ADDRESS1>>
<<ADDRESS2>>
<<CITY>>, <<STATE>> <<ZIP>>
<<Country>>

September 14, 2023

Subject: Notice of Data <<Variable Text 1>>

Dear <<FIRST NAME>> <<LAST NAME>>:

The Mather Group LLC (“TMG”) takes the privacy and security of all client information within our possession seriously, which is why we are writing to inform you of a data security incident that involved your personal information and to offer complimentary credit monitoring and identity protection services.

What Happened: On June 21, 2023, TMG discovered malware in a limited portion of its network. We quickly took steps to secure our digital environment and launched an internal investigation. We also engaged leading, independent cybersecurity experts to investigate and identify any information that may have been impacted. Through the course of the investigation, TMG learned that certain files and stored data may have been accessed or acquired without authorization during the incident. On August 10, 2023, we determined that your personal information may have been impacted.

What Information Was Involved: The affected information includes your name and <<Variable 2: Impacted Data Elements>>.

What We Are Doing: TMG has implemented enhanced technical security measures to minimize the chance that an incident like this could occur in the future. We have also taken specific actions to ensure client data remains out of the public domain. <<Variable 3: Fidelity Language>> Furthermore, we have notified the Federal Bureau of Investigation of this incident and will cooperate with any resulting investigation. We will continue to monitor for any suspicious activity and have provided here some information about additional steps that you can take to help protect your personal information.

What You Can Do: Please read the recommendations enclosed with this letter and be sure to check your account statements for any concerning activity. Although we have not seen any suspicious transactions arising from this incident, we are offering 24 months of complimentary credit and identity monitoring services through IDX, and we encourage you to enroll. You can do so by visiting the link above and inserting the noted code. The deadline to enroll in these services is December 14, 2023.

For More Information: As always, please feel free to reach out directly to your financial advisor with any questions. If you need assistance with enrolling in the services offered, please call 1-888-922-6462 between 8 am to 8 pm CST, Monday through Friday. Please have your enrollment code ready.

Protecting your information is of the utmost importance to us. Please know that we take this incident very seriously and regret any worry or inconvenience that this may cause you.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeffrey L. Pierce", with a stylized flourish at the end.

Jeffrey L. Pierce, CEO
The Mather Group LLC
353 N Clark St #2775
Chicago, IL 60654

Steps You Can Take to Protect Your Personal Information

Review Your Account Statements and Notify Law Enforcement of Suspicious Activity: As a precautionary measure, we recommend that you remain vigilant by reviewing your account statements and credit reports closely. If you detect any suspicious activity on an account, you should promptly notify the financial institution or company with which the account is maintained. You also should promptly report any fraudulent activity or any suspected incidence of identity theft to proper law enforcement authorities, your state attorney general, and/or the Federal Trade Commission (FTC).

Copy of Credit Report: You may obtain a free copy of your credit report from each of the three major credit reporting agencies once every 12 months by visiting <http://www.annualcreditreport.com/>, calling toll-free 1-877-322-8228, or by completing an Annual Credit Report Request Form and mailing it to Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA 30348. You also can contact one of the following three national credit reporting agencies:

Equifax

P.O. Box 105788
Atlanta, GA 30348
1-888-378-4329
www.equifax.com

Experian

P.O. Box 9532
Allen, TX 75013
1-800-831-5614
www.experian.com

TransUnion

P.O. Box 1000
Chester, PA 19016
1-800-916-8800
www.transunion.com

Fraud Alert: You may want to consider placing a fraud alert on your credit report. An initial fraud alert is free and will stay on your credit file for at least one year. The alert informs creditors of possible fraudulent activity within your report and requests that the creditor contact you prior to establishing any accounts in your name. To place a fraud alert on your credit report, contact any of the three credit reporting agencies identified above. Additional information is available at <http://www.annualcreditreport.com>.

Security Freeze: You have the right to put a security freeze on your credit file for up to one year at no cost. This will prevent new credit from being opened in your name without the use of a PIN number that is issued to you when you initiate the freeze. A security freeze is designed to prevent potential creditors from accessing your credit report without your consent. As a result, using a security freeze may interfere with or delay your ability to obtain credit. You must separately place a security freeze on your credit file with each credit reporting agency. In order to place a security freeze, you may be required to provide the consumer reporting agency with information that identifies you including your full name, Social Security number, date of birth, current and previous addresses, a copy of your state-issued identification card, and a recent utility bill, bank statement or insurance statement.

Additional Free Resources: You can obtain information from the consumer reporting agencies, the FTC, or from your respective state Attorney General about fraud alerts, security freezes, and steps you can take toward preventing identity theft. You may report suspected identity theft to local law enforcement, including to the FTC or to the Attorney General in your state.

Federal Trade Commission

600 Pennsylvania Ave, NW
Washington, DC 20580
consumer.ftc.gov
1-877-438-4338

Maryland Attorney General

St. Paul Plaza
200 St. Paul Place
Baltimore, MD 21202
marylandattorneygeneral.gov
1-888-743-0023

New York Attorney General

Bureau of Internet and Technology
Resources
28 Liberty Street
New York, NY 10005
ag.ny.gov
1-212-416-8433 / 1-800-771-7755

North Carolina Attorney General

9001 Mail Service Center
Raleigh, NC 27699
ncdoj.gov
1-877-566-7226

Rhode Island Attorney General

150 South Main Street
Providence, RI 02903
<http://www.riag.ri.gov>
riag.ri.gov
1-401-274-4400

Washington D.C. Attorney General

400 S 6th Street, NW
Washington, DC 20001
oag.dc.gov
1-202-727-3400

You also have certain rights under the Fair Credit Reporting Act (FCRA): These rights include to know what is in your file; to dispute incomplete or inaccurate information; to have consumer reporting agencies correct or delete inaccurate, incomplete, or unverifiable information; as well as other rights. For more information about the FCRA, and your rights pursuant to the FCRA, please visit https://files.consumerfinance.gov/f/201504_cfpb_summary_your-rights-under-fcra.pdf.